

# The DIS Arbitration Rules An Article-by-Article Commentary

Edited by Gustav Flecke-Giammarco, Christopher Boog, Siegfried H. Elsing, Peter Heckel & Anke Meier

With the assistance of Alexander Grimm, Ulrich Kopetzki & Alexander Shchavelev

### **Overview**

The new arbitration rules of the German Arbitration Institute (Rules) entered into force on 1 March 2018. Drafted over an intense period of eighteen months by a committee of globally recognized experts with the active participation of nearly 300 arbitration practitioners, the Rules stand poised to attract parties seeking dispute resolution not only in Germany but also internationally. This extraordinary book, written by the drafters themselves, with a comprehensive article-by-article commentary, is filled with practical insights and recommendations regarding the application of the Rules.

Each provision of the new Rules is given its own chapter, in which the following issues and topics are examined in depth for the specific rule under analysis:

- use of the provision in practice;
- modifications from the corresponding provision in the 1998 Rules;
- relationship to the relevant sections of the German Code of Civil Procedure;
- comparison with relevant regulations and practices in German State court proceedings;
- detailed expert commentary, including analysis of case law and legal scholarship;
- DIS practice concerning the application of the provision; and
- comparison with similar provisions in other arbitration rules.

An annex contains an extensive collection of reference materials, including forms, schedule of costs and texts of various international arbitration documents.

The authors and editors have vast experience as counsel and arbitrators in proceedings conducted under the auspices of the DIS and other arbitral institutions. Their intimate familiarity with all aspects of DIS case administration is of immeasurable value to all stakeholders in arbitral proceedings.

A genuine user's guide, the book explains how the new Rules are likely to be applied in practice by the arbitral institution, arbitrators and parties. Its practical tips regarding the effective conduct of DIS arbitrations elucidate best practices for counsel and arbitrators and make DIS' day-to-day case management and decision-making processes more transparent and predictable for users of all levels of experience and expertise.

**The authors include:** Daniel Busse, Lucie Gerhardt, Klaus-A. Gerstenmaier, Richard Happ, Robert Hunter, Richard Kreindler, David Quinke, Ramona Schardt, Anke Sessler, Rolf Trittmann, Peter Wolrich

Foreword by W. Laurence Craig

For more information, visit **kluwerlaw.com/store** Phone: **+31 (0) 172 641562 ·** Email: **international-sales@wolterskluwer.com** 





## The DIS Arbitration Rules

An Article-by-Article Commentary

Edited by Gustav Flecke-Giammarco, Christopher Boog, Siegfried H. Elsing, Peter Heckel & Anke Meier With the assistance of Alexander Grimm, Ulrich Kopetzki & Alexander Shchavelev

#### **Table of Contents**

Foreword Editors Contributors Preface List of Abbreviations Acknowledgements PART I Introduction §1.01 Practical Tips for the Readers Gustav Flecke-Giammarco, Christopher Boog, Siegfried H. Elsing, Peter Heckel & Anke Meier §1.02 International Arbitration in Germany Alexander Grimm, Ulrich Kopetzki & Alexander Shchavelev \$1.03 DIS Model Clauses and the Drafting of the Arbitration Agreement Peter Wolrich §1.04 A Common Law Perspective on the 2018 DIS Rules and International Arbitration in Germany Richard Kreindler §1.05 Introduction to DIS - Past, Present and Future Robert Hunter Article-by-Article Commentary §2.01 Introductory Provisions Article 1 Scope of Application Article 2 Role of the DIS David Quinke Article 3 Definitions Article 4 Submissions, Time Periods, and Time Limits Gustav Flecke-Giammarco §2.02 Request for Arbitration, Answer, Counterclaims and Consolidation of Proceedings Article 5 Request for Arbitration, Transmission to Respondent, Administrative Fee Article 6 Commencement of the Arbitration Richard Happ Article 7 Respondent's Notification, Answer and Counterclaim Klaus-A. Gerstenmaier Article 8 Consolidation of Arbitrations Anke Sessler & Peter Heckel §2.03 The Arbitral Tribunal Article 9 Impartiality and Independence of the Arbitrators, Duties of Disclosure Article 10 Number of Arbitrators Article 11 Sole Arbitrator Article 12 Three-Member Arbitral Tribunal Article 13 Appointment of the Arbitrators Article 14 Conduct of the Arbitration by the Arbitral Tribunal Article 15 Challenge of an Arbitrator Article 16 Early Termination of an Arbitrator's Mandate Anke Meier & Lucie Gerhardt §2.04 Multi-Contract Arbitration, Multi-Party Arbitration, Joinder Article 17 Multi-Contract Arbitration Article 18 Multi-Party Arbitration Peter Heckel & Anke Sessler Article 19 Joinder of Additional Parties Article 20 Three-Member Arbitral Tribunal in Multi-Party Arbitrations Anke Sessler & Peter Heckel

§2.05 The Proceedings Before the Arbitral Tribunal Article 21 Rules of Procedure Article 22 Seat of the Arbitration Article 23 Language of the Arbitration Article 24 Rules of Law Applicable to the Merits Daniel Busse Article 25 Interim Relief Christopher Boog & David Quinke Article 26 Encouraging Amicable Settlements Daniel Busse Article 27 Efficient conduct of the proceedings Article 28 Establishing the facts Article 29 Oral hearing Article 30 Default of a Respondent Article 31 Closing of Proceedings Rolf Trittmann & Ramona Schardt §2.06 Costs Article 32 Costs of the Arbitration Article 33 Arbitral Tribunal's Costs Decisions Article 34 Arbitrators' Fees and Expenses Article 35 Deposit for Fees and Expenses Article 36 Deposit for Fees and Expenses of the Arbitral Tribunal Article 36 Basis for Calculation of Deposits and Administrative Fees Siegfried H. Elsing, Gustav Flecke-Giammarco & Klaus-A. Gerstenmaier Segment 7. Lising, Gould The Arbitration by Award or otherwise Article 37 Time Limit for the Final Award Article 38 Effect of the Arbitral Award Sector Form and Transmission of the Arbitral Award Article 39 Content, Form and Transmission of the Arbitral Award Article 40 Correction of the Arbitral Award Article 41 Award by Consent Article 42 Termination of the Arbitration before the Making of a Final Award Richard Happ §2.08 Miscellaneous Article 43 Waiver of Right to Object Richard Happ Article 44 Confidentiality David Quinke & Christopher Boog Article 45 Limitation of Liability Anke Meier & Lucie Gerhardt PART III Annexes §3.01 Charts and Graphs \$3.02 DIS Arbitration Rules 2018 §3.03 DIS-Schiedsgerichtsordnung 2018 §3.04 Comparative Table of the Rules 1998 Versus Rules 2018 §3.05 German Arbitration Law 1998 §3.06 UNCITRAL Model Law on International Commercial Arbitration (1985): With Amendments as Adopted in 2006 §3.07 New York Convention on the Recognition and Enforcement of Foreign Arbitral Awards Bibliography Table of Cases Index

ISBN: 9789041189950 • Released: February 2020 Price: € 204 - \$ 225 - £ 167•Format: Hardcover, 1000pp

Available in our eStore and Digital Book Platform

# KluwerLaw.com/Store